# Stability of pharyngeal airway space after maxillomandibular advance surgery in patients with class II malocclusion

Estabilidade da dimensão do espaço aéreo faríngeo após o avanço maxilomandibular em pacientes com maloclusão de classe II

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#### Abstract

The lateral cephalometric radiographs show a high degree of visual resolution for the pharyngeal airway space, which is delimited by soft and hard tissues. Oromaxillofacial surgical treatment may be indicated for the patient with skeletal class II malocclusion, where whether or not mandibular advance is associated with interventions of the maxilla. Objective: The objective of this study was to determine the stability of the dimensional alterations of the total pharyngeal airway space (TPAS), distinguishing the airway space of the nasopharynx (NP), oropharynx (OP) and hypopharynx (HP), in cm<sup>2</sup>, after maxillomandibular advance. Methods: Cephalometric radiographs were taken in the preoperative (PRE), early postoperative (EPOST) and late postoperative (LPOST) periods of patients who submitted to combining surgeries. Based on proposals of cephalometric studies for this area, a statistical analysis was accomplished to determine the presence or absence of significant differences between PRE and EPOST, between EPOST and LPOST, and between PRE and LPOST. Results: There was an increase in values of the OP area and of TPAS in EPOST and decrease in LPOST. However, for these airway spaces, area values in LPOST differed from those in PRE, which were always much lower. Conclusions: These results may contribute to the treatment of obstructive sleep apnea and hypopnea, even if relapse occurs, where the pharyngeal airway space is still greater in LPOST than in PRE.

#### Key words: Sleep apnea. Orthognathic surgery. Relapse.

#### Introduction

Cephalometric analysis may reveal dento-facial anomalies<sup>1</sup>, which its cost is low and it is easy to achieve, and the individual is exposed to minimal levels of radiation. Also, it is essential for the identification of the craniofacial bone soft tissue factors that may be involved in pharyngeal collapse in obstructive sleep apnea and hypopnea syndrome (OSAHS)<sup>2</sup>. Cephalometric studies have uncovered a tendency of the retrusive position of the maxilla and mandible in patients with OSAHS<sup>3</sup>.

Surgeries fulfilled in the maxillomandibular skeleton provide benefits for the correction of facial and occlusal deformities, resulting in a favorable influence on respiratory dysfunction characterized by OSAHS, by increasing the size of the pharyngeal airway<sup>4</sup>. This increase occurs by the counterclockwise rotation of the maxillomandibular complex in patients with a high occlusal plane<sup>5</sup>. Within this concept, the surgical technique of Puricelli<sup>6,7</sup> still allows mandibular overcorrection in cases of severe obstructions of rapid progression avoiding a possible tracheotomy.

Postoperative changes in the width of the pharyngeal airway space, in the majority of studies is measured by reference lines in specific points

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in the antero-posterior direction<sup>8,9</sup>. A study of this pharyngeal area found an increase in the airway space of the nasopharynx after maxillary advance surgery, and increase in the airway space of the hypopharynx and nasopharynx after advancement and maxillary impaction, probably due to adaptation of the mandible and tongue which are able to assume a more anterior position<sup>11</sup>. A previous study that measured dimensional changes of total pharyngeal airway space, focusing on the airway space of the nasopharynx, oropharynx and hypopharynx in cm<sup>2</sup> between pre- and postoperative periods of patients with class II skeletal malocclusion submitted to buccomaxillofacial surgical treatment by maxillomandibular advancement, demonstrated an increase in pharyngeal airway space, especially in the oropharynx and hypopharynx<sup>11</sup>. The increase in posterior airway space in cases of maxillomandibular advance may be related to counterclockwise rotation and the greater quantity of soft tissues in the involved airway space previously positioned<sup>12</sup>. The stability and predictability of movements in orthognathic surgery are frequent targets of study. The stability after bone repositioning, in order of importance, depends on the magnitude and direction of the movement<sup>13</sup>, type of fixation<sup>7</sup> and surgical technique<sup>6,14</sup>. The rigid internal fixation provides greater comfort and assurance in the postoperative period. However, the stability of the repositioned segments is a challenge to even the most experienced surgeons, because the causes are multifactorial and involve various orthodontic and surgical factors<sup>15</sup>. Eggensperger et al.<sup>16</sup> (2005), using cephalometric analysis, followed-up 15 patients who had maxillomandibular advancement, for a period of 12 years. Based on this study, it was believed that the suprahyoid muscles can contribute to skeletal relapse, where a diminution of the upper and middle pharyngeal airway spaces can also occur, because the position of the hyoid bone is more posterior in the postoperative that the preoperative period.

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more posterior in the postoperative that the preoperative period. The aim of the present study was to evaluate, through the cephalometric radio-graphs, the postsurgical stability of the dimensional alterations in the pharyngeal airway space, after maxillomandibular advancement surgery in patients with class II skeletal malocclusion.

A long-term stability was found in maxillomandibular advance surgery, evaluated in cephalometric radiographs in the preoperative, early postoperative and late postoperative periods of 19 patients who were also submitted to a genioplasty in the treatment of OSAHS. In the late period, the patients who had large surgical advances, maintained the permeability of the airways as planned, and the relapse rate was only 10% in both the maxilla and mandible in the horizontal direction<sup>17</sup>. Similar results were obtained in the studies of Louis et al.<sup>18</sup> (1993) in 20 patients and Riley<sup>19</sup> (1993) in 26 patients, who had a relapse rate around 20% or less. A study by Tiner, in 1995, demonstrated less than 10% relapse of the maxilla as well as the mandible; however, there was a high standard deviation and some cases considered individually relapsed signifi $cantly^{20}$ .

The aim of the present study was to evaluate, through the cephalometric radio-graphs, the postsurgical stability of the dimensional alterations in the pharyngeal airway space, after maxillomandibular advance surgery in patients with class II skeletal malocclusion.

### Subjects and method

In order to evaluate the dimensional alteration in the pharyngeal airway, we selected cephalometric radiographs of 11 patients with class II skeletal malocclusion who were submitted to orthognathic maxillomandibular advance surgery by the same surgeon (E.P.). Puricelli's technique was used for mandibular osteotomy<sup>6,21,22</sup>.

All patients had cephalometric radiographs in PRE (Fig. 1), EPOST (Fig. 2) and LPOST (Fig. 3), with variable time between them and performed in the same radiology center, when considering each patient individually. Manual cephalometric tracings were made over the hard and soft tissues landmarks by the same operator (M.A.P.M.). The images of cephalometric tracings were digitized with a millimeter ation of the measurements of the pharyngeal airway space, as in the prior study of Maahs et al.<sup>11</sup> (2005). The measurements of the areas of the nasopharynx (NP), oropharynx (OP), hypopharynx (HP) and total pharyngeal airway space (TPAS) were obtained by using program Image Tool 3.0, tracing the outline of each of these areas, measured in cm<sup>2</sup> in PRE, EPOST and LPOST to evaluate the dimensional alterations. After tracing the contours of each area and recording the dimensional alterations, the

differences in areas were determined between PRE and EPOST, between EPOST and LPOST and between PRE and LPOST. The determination of the limits of the areas of the airway spaces was based on the cephalometric parameters of Ono et al.<sup>23</sup> (1996) (Fig. 4 and 5), as previously published by Maahs et al.<sup>11</sup> (2005).



Figure 1 - Profile X-ray – PRE (patient 5, male, 22a and 3m)



Figure 2 - Profile X-ray – EPOST (patient 5, male, 22a and 5m)



*Figure 3 - Profile X-ray – LPOST (patient 5, male, 24a and 9m)* E.P. (Edela Puricelli- Surgery and Oral-maxillofacial Trauma); M.A.P.M. (Marcia Angelica Peter Maahs- Orthodontics and Facial Orthopedics)

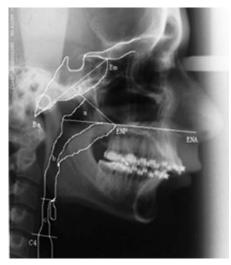


Figure 4 - Outline of the areas analyzed – (a = NP; b=OP; c = HP)



Figure 5 - Outline of the area analyzed - (d = TPAS)

From these individuals, 8 (72.7%) were females and 3 (27.3%) males (Table 1) aging from  $33.02 \pm$ 11.95 years old in PRE,  $33.95 \pm 11.95$  years old in EPOST and  $36.61 \pm 12.77$  years old in LPOST. In EPOST in relation to PRE, there was an average increase in age of 1 year, in LPOST in relation to PRE, there was an average increase in age of 3.6 years, and in LPOST in relation to EPOST, there was an average increase in age of 2.7 years (Table 2).

Table 1	-	Gender of	f patients
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Gender	Nº cases	%
Female	8	72.7
Male	3	27.3
Total	11	100

Table 2 - Age of patients

Age	Mean	Standard deviation
Age PRE	33.02	11.95
Age EPOST	33.95	11.95
Age LPOST	36.61	12.77

#### Results

Statistical analysis (Student's t test of comparison of paired samples) of the results revealed significant differences ( $p \le 0.05$ ) between the PRE and EPOST periods, with higher values for the EPOST study of the measurements in area (cm<sup>2</sup>) of the dimensions of OP and TPAS, and between the EPOST and LPOST periods with lower values for the study of OP and TPAS. Between the PRE and LPOST periods, there was a significant difference in TPAS only, which showed higher values for LPOST study. Thus, TPAS values increased in EPOST and decreased in LPOST, but still differed from the lower PRE values (Table 4).

The area of the TPAS of the sample studied was increased in EPOST in nine of the eleven patients (Table 3). Mean TPAS increased from 12.44 cm<sup>2</sup> in PRE to 15.16 cm<sup>2</sup> in EPOST, and to 13.86 cm<sup>2</sup> in LPOST (Table 4, Fig. 6).

The following results were obtained when evaluating each region of the pharynx individually:

In NP, seven patients showed an increase in airway space and four showed a decrease in EPOST. In LPOST, seven patients showed a decrease in relation to EPOST, but in relation to PRE, six patients had an increase in this space (Table 3). Mean NP values for the sample were 3.55 cm2 in PRE, 3.61 cm<sup>2</sup> in EPOST, and 3.59 cm<sup>2</sup> in LPOST (Table 4, Fig. 6). For OP, two patients showed a decrease of this space in EPOST, while the other showed an increase. In LPOST, eight patients showed a decrease, two an increase and one remained the same in relation to EPOST. However, in relation to PRE, nine patients had an increase and two a decrease (Table 3). Mean OP area values varied from 6.61 cm<sup>2</sup> in PRE to 8.39 cm<sup>2</sup> in EPOST and to 7.32 cm<sup>2</sup> in LPOST (Table 4, Fig. 6).

For HP, three patients showed a reduction of the airway space in EPOST, while the others showed an increase. In LPOST, eight patients had a decrease in relation to EPOST, and nine patients showed an increase in relation to PRE (Table 3). Mean HP for the sample varied from 2.51 cm<sup>2</sup> in PRE to 3.07 cm<sup>2</sup> in EPOST and to 2.84 in LPOST (Table 4, Fig. 6).

The mean increase in TPAS in EPOST was 2.72 cm<sup>2</sup>, mainly due to the mean increase in OP airway space of 1.78 cm<sup>2</sup>, greater than the one for the NP airway space (0.06 cm<sup>2</sup>) and the HP airway space (0.57 cm<sup>2</sup>). The mean decrease in TPAS in LPOST in relation to EPOST was 1.31 cm<sup>2</sup>, mainly due to the mean decrease in airway space of OP of 1.07 cm<sup>2</sup>, because for NP, it was 0.03 cm<sup>2</sup> and HP 0.24 cm<sup>2</sup>. The mean increase in TPAS in LPOST in relation to PRE was 1.42 cm<sup>2</sup>, mainly due to the mean increase in airway space of OP of 0.71 cm<sup>2</sup>, because for NP it was 0.04 cm<sup>2</sup> and HP 0.33 cm<sup>2</sup>. However, this last increase in OP was not statistically significant.

Table 3 - Measurements in area (cm<sup>2</sup>) of the dimensions of NP, OP, HP and TPAS in PRE, EPOST and LPOST for all patients of the sample

Patient	NP (cm <sup>2</sup> )	OP (cm <sup>2</sup> )	HP (cm <sup>2</sup> )	TPAS (cm <sup>2</sup> )
1.PRE	1,70	7,47	1,68	11,09
1.EPOST	1,88	11,61	2,71	16,09
1.LPOST	2,18	9,80	1,70	13,64
2.PRE	4,70	5,51	3,49	13,70
2.EPOST	4,25	7,51	4,97	16,94
2.LPOST	4,29	5,67	3,50	13,34
3.PRE	3,62	7,72	4,32	15,66
3.EPOST	4,06	8,03	2,47	15,16
3.LPOST	3,64	8,03	4,26	15,80
4.PRE	4,27	4,22	1,71	10,30
4.EPOST	4,04	6,36	2,70	13,40
4.LPOST	4,59	6,00	2,71	13,33
5.PRE	2,16	6,28	2,14	10,43
5.EPOST	2,67	10,26	2,09	14,79
5.LPOST	2,04	9,04	3,26	14,67
6.PRE	3,66	5,37	2,44	11,10
6.EPOST	3,87	5,14	3,25	12,42
6.LPOST	3,74	5,31	2,96	12,36
7.PRE	3,46	4,60	2,17	9,42
7.EPOST	3,51	7,63	3,30	14,76
7.LPOST	3,18	6,28	2,74	11,85
8.PRE	4,57	6,82	3,02	14,10
8.EPOST	3,85	9,13	4,01	16,69
8.LPOST	3,80	6,73	3,38	14,35
9.PRE	3,50	6,66	1,58	11,42
9.EPOST	4,28	8,01	3,60	16,02
9.LPOST	5,34	5,04	2,49	13,33
10.PRE	4,53	9,20	3,69	17,25
10.EPOST	3,85	8,23	2,52	14,76
10.LPOST	3,81	9,35	2,08	15,43
11.PRE	2,60	8,90	1,32	12,39
11.EPOST	3,48	10,37	2,17	15,76
11.LPOST	3,11	9,26	2,11	14,33

Table 4 - Comparison of the values obtained between observation periods

Variable	Comparison	Mean	Standard-deviation	Difference	р
NP	PRE	3,55	1,01	0,06	0,719
	EPOST	3,61	0,73		
	PRE	3,55	1,01	0,04	0,878
	LPOST	3,59	0,97		
	EPOST	3,61	0,73	0,03	0,860
	LPOST	3,59	0,97		
	PRE	6,61	1,63	1,78	0,005*
	EPOST	8,39	1,86		
	PRE	6,61	1,63	0,71	0,098
OP	LPOST	7,32	1,81		
	EPOST	8,39	1,86	1,07	0,015*
	LPOST	7,32	1,81		
	PRE	2,51	0,99	0,57	0,133
	EPOST	3,07	0,87		
HP	PRE	2,51	0,99	0,33	0,182
	LPOST	2,84	0,74		
	EPOST	3,07	0,87	0,24	0,437
	LPOST	2,84	0,74		
TPAS	PRE	12,44	2,45	2,72	0,004*
	EPOST	15,16	1,36		
	PRE	12,44	2,45	1,42	0,022*
	LPOST	13,86	1,20		
	EPOST	15,16	1,36	1,31	0,020*
	LPOST	13,86	1,20		

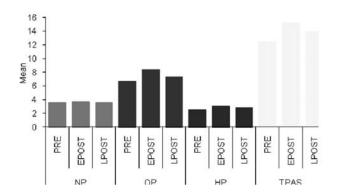


Figure 6 - Comparison of the values obtained between observation periods

#### Discussion

Cephalometric analysis has been utilized by many authors to quantify specific parameters of the airways<sup>3</sup>. The possibility to analyze the pharyngeal airway three-dimensionally by cone beam computed tomography is substantially current<sup>24</sup>. The present study was retrospective in nature; archive radiographs were used in which the difference was to use a two-dimensional evaluation, measuring the pharyngeal airway space in cm<sup>2</sup> in the pre and postoperative periods in patients with class II skeletal malocclusion<sup>11</sup>. In this study, the spaces were measured not only in preoperative and early postoperative periods, but also in the late postoperative period, in order to determine the stability of the dimension of the pharyngeal airway space after maxillomandibular advance in patients with class II malocclusion. Although a two-dimensional study it differed from that not only cephalometric reference points and lines were used, as by the majority of published studies<sup>8,9</sup>, thus including multiple points of the airway space. In addition, the pharynx was divided into 3 airway spaces to measure the areas of NP, OP and HP, besides that of TPAS. In this manner, it was possible to determine that the results were significant at the OP level, which was the most important in the alterations in TPAS, both between PRE and EPOST and EPOST and LPOST. Between PRE and LPOST, only TPAS showed a significant difference, with higher values in LPOST. The results showed that, although there was some relapse in size of TPAS, it remained increased in relation to the beginning of the treatment.

A study by Márquez et al.<sup>2</sup> (2005) found that the upper posterior airway space of the pharynx is less in patients with OSAHS, This space would correspond to the upper limit of the oropharynx of this study. This suggests that the results obtained in this study would contribute to the treatment of OSAHS, due to the increase in this space in EPOST and LPOST in relation to PRE. Gonçalves et al.<sup>25</sup> in (2006) found that maxillomandibular advance produces an immediate increase in airway space in the middle and lower regions of the oropharynx, which remains stable in the postoperative periods where there is a long-term increase in the upper region after surgery, due to the regression of the postsurgical edema that occurs at this location.

Maxillomandibular advance surgery provides benefits to patients with facial and occlusal deformities, eliminating or diminishing the symptoms of OSAHS, due to its effect in increasing the dimensions of the pharyngeal airway<sup>5</sup>. Thus, our results are similar to those studies that reported an increase of these dimensions in the postoperative period<sup>19,26,27</sup>. On the contrary, there are studies that evaluated the effects of mandibular setback surgery on the pharyngeal airway, and decreased in size<sup>28,29,30</sup>. Before the surgical advance of the mandible, the aspects related with OSAHS should be investigated to determine the degree of advance that could be of respiratory benefit. In the present study, maxillomandibular advance surgery accomplished in patients with class II malocclusion, increased the dimensions of TPAS in the postoperative period, suggesting benefits to the patients with this radiographic space previously diminished. The possible increase in the lateral dimension of the airway after maxillomandibular advance<sup>31</sup> was not evaluated in this study.

The results of this study demonstrate benefits for the widening of the pharyngeal airway space. However, there was no significant sagittal increase in the NP and HP areas in the postoperative period, because the increase was significant for TPAS, mainly due to the increase in the OP area. The results are similar to those of De Ponte et al<sup>8</sup>. (1999) who reported an increase in the lower part of the oropharynx, after impaction of the maxilla in patients with class II malocclusion. However, their measurements were not in specified areas. The present results are also in line with those of Pereira Filho who showed an increase in pharyngeal airway space in the majority of the measurements analyzed, but there were linear measurements<sup>12</sup>. In this study, 2 patients did not show an increase in the dimensions of TPAS in EPOST, which could be related to the fact that this study was carried out in patients who underwent the surgical procedure for the purpose of treating class II skeletal malocclusion and not for treatment of OSAHS, which is aimed at increasing the dimensions of the pharyngeal airway. We believe that if this study were done in patients treated with maxillomandibular advance, improving the pharyngeal airway in the treatment of OSAHS, the results would be more significant, because surgical advances would probably be of greater proportions.

Although the majority of studies have analyzed skeletal stability in the postoperative period<sup>17,19</sup>, there are studies examining the stability of the dimensions of the pharyngeal airway space after maxillomandibular advance. We believe that the

suprahyoid muscles may contribute to skeletal relapse, leading to diminution of upper and lower pharyngeal airway spaces in the postoperative period<sup>16</sup>. During the surgical procedure, this musculature (ventral anterior of the digastric and suprahyoid) can be stretched to diminish its forces, thereby reducing the tendency of relapse in mandibular advancements<sup>32</sup>. In the present study, LPOST values of TPAS decreased, but still differed from the lower PRE values. This indicates that there was relapse in LPOST, but the dimensions of TPAS were still greater than in PRE. Although the values were greater in LPOST in relation to PRE in each specified area (NP, OP and HP), they were not statistically significant, but certainly contributed to the significant results obtained for TPAS.

## Conclusions

- Patients with class II skeletal malocclusion, submitted to oral maxillofacial surgical treatment by maxillomandibular advance, showed an increase in pharyngeal airway space, especially in the oropharynx.
- Patients with class II skeletal malocclusion, submitted to oral maxillofacial surgical treatment by maxillomandibular advance, showed a decrease in total pharyngeal airway space in late postoperative, indicating relapse postorthosurgical treatment, but still maintaining this space increased compared to the beginning of the treatment.
- This method of cepholometric evaluation may contribute to analyze the results of preoperative and postoperative in patients with obstructive sleep apnea and hypopnea.

#### Resumo

As radiografias cefalométricas de perfil apresentam um alto grau de resolutibilidade visual para a via aérea faríngea, que é delimitada por tecidos moles e duros. O paciente portador de maloclusão esquelética de Classe Il pode ter indicação de tratamento cirúrgico bucomaxilofacial, com avanço mandibular associado ou não a intervenções na maxila. Objetivo: O objetivo deste estudo é verificar a estabilidade das alterações dimensionais do espaco aéreo faríngeo total (EAFT), particularizando o espaço aéreo da nasofarige (NP), orofaringe (OF) e hipofaringe (HF), em cm<sup>2</sup>, após o avanço maxilomandibular. Metodologia: Foram utilizadas radiografias cefalométricas de perfil pré-operatórias (PRE), pós-operatórias mediatas (POSM) e pós-operatório tardias (POST) de pacientes que realizaram cirurgias combinadas. Baseado em propostas de estudos cefalométricos para essa área, realizou-se uma análise estatística para avaliação da presença ou não de diferenças significativas entre o PRE e o POSM, entre o POSM e POST e entre o PRE e o POST. Resultados: Houve aumento dos valores em área da OF e do EAFT no POSM e diminuição no POST. Porém, para esses espaços aéreos os valores em área no POST diferiram dos valores em área do PRE, sempre mais baixos. Conclusão: Esse resultado pode contribuir no tratamento das apneias e hipopneias obstrutivas do sono, mesmo que a recidiva ocorra, visto que a área do espaço aéreo faríngeo ainda permanece maior no POST do que no PRE.

Palavras-chave: Apneia do sono. Cirurgia ortognática. Recidiva.

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